## String theory lecture - Exercise sheet 13

To be discussed on January  $29^{\text{th}}$ 

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The goal of this exercise sheet is to first manipulate the RNS superstring action in light-cone coordinates to check its SUSY invariance and make explicit the possibility to have periodic or anti-periodic boundary conditions for the fermions. In the second exercise, we study the circle compactification of the bosonic string to discover new stringy effects, anticipate the existence of T-duality and exhibit the phenomenon of gauge enhancement.

## 1 RNS superstring action

The superstring action in flat gauge takes the form

$$S = -\frac{1}{4\pi} \int d^2 \xi \left( \frac{1}{\alpha'} \partial_a X^\mu \partial^a X_\mu - i \bar{\psi}^\mu \gamma^a \partial_a \psi_\mu \right) \,. \tag{1.1}$$

a) Using the spinor component notation  $\psi \equiv (\psi_{-}, \psi_{+})^{T}$ , show that in light-cone coordinates the action takes the form you have seen in the lecture (use the same conventions defined there for the Clifford algebra and the gamma matrices):

$$S = \frac{1}{2\pi} \int d^2 \xi \left( \frac{2}{\alpha'} \partial_+ X^\mu \partial_- X_\mu + i(\psi^\mu_- \partial_+ \psi_{-\mu} + \psi^\mu_+ \partial_- \psi_{+\mu}) \right) \,. \tag{1.2}$$

b) The on-shell SUSY transformations are given by

$$\sqrt{\frac{2}{\alpha'}}\delta X^{\mu} = i\bar{\epsilon}\psi^{\mu}, \qquad \delta\psi^{\mu} = \sqrt{\frac{2}{\alpha'}}\frac{1}{2}\gamma^{a}\partial_{a}X^{\mu}\epsilon, \qquad (1.3)$$

with the Majorana spinor  $\epsilon \equiv (\epsilon_{-}, \epsilon_{+})^{T}$  subject to the chiral condition

$$\gamma^b \gamma_a \partial_b \epsilon = 0. \tag{1.4}$$

Fix  $\alpha' = 2$  for convenience and show that in light-cone coordinates these transformations become

$$\delta X^{\mu} = i(\epsilon_{+}\psi_{-} - \epsilon_{-}\psi_{+}), \qquad \delta \psi^{\mu}_{\pm} = \pm \epsilon_{\mp}\partial_{\pm}X^{\mu}, \qquad (1.5)$$

with the condition

$$\partial_+ \epsilon_+ = \partial_- \epsilon_- = 0. \tag{1.6}$$

c) It is convenient to define  $\eta^- \equiv \epsilon_+$  and  $\eta^+ = -\epsilon_-$ . Rewrite the transformations with this parameter. The transformations parametrized by  $\eta^+$  and  $\eta^-$  are completely independent so that on-shell invariance of the action can be checked separately for both of them. Consider then the  $\eta^+$  transformation and prove the invariance of the action, on-shell.

d) Use the Noether trick by replacing  $\eta^+$  by  $\rho \eta^+$  and deduce from the variation of the action the following supercurrent component:

$$j_{+} = \eta^{+} \partial_{+} X^{\mu} \psi_{+\mu} , \qquad (1.7)$$

which satisfies  $\partial_{-}j_{+} = 0$ .

e) Vary the action of  $\psi^{\mu}_{\pm}$  to show explicitly why periodic and anti-periodic boundary conditions are allowed (you may assume that  $\delta \psi^{\mu}_{\pm}|_{\tau=\pm\infty} = 0$ ).

## 2 Circle compactification

Consider the theory of a massless scalar field  $\phi(x^M)$  in d+1 spacetime dimensions. We compactify the  $(d+1)^{\text{th}}$  dimension on a circle of radius R. It means we identify  $x^d \cong x^d + 2\pi R$  and our spacetime geometry is  $\mathbb{R}^{1,d-1} \times S^1(R)$ . Splitting the spacetime index like  $M \equiv \{\mu, d\}$  with  $\mu = 0, \ldots, d-1$ , we can exand the field along the compact direction like

$$\phi(x^M) = \sum_{n \in \mathbb{Z}} \phi_n(x^\mu) \exp\left(\frac{inx^d}{R}\right) \,. \tag{2.1}$$

- a) What are the eigenvalues of the momentum operator in the compact direction?
- b) Starting from the equation of motion in d + 1 dimensions, show that from the effective *d*dimensional point of view the modes  $\phi_n(x^{\mu})$  form an infinite tower of fields with mass-squared  $m^2 = -p_{\mu}p^{\mu} = \frac{n^2}{R^2}$ . These modes are called the *Kaluza-Klein (KK) modes* and *n* is the *KK number*.
- c) Now we turn to the string with a target space featuring one compact dimension, such that  $X^d \cong X^d + 2\pi R$  along that direction (you can think of it as being the 25<sup>th</sup> direction in the bosonic string for example). Argue that the periodicity condition for the closed string (of length  $2\pi$ ) can then be generalized like

$$X^{d}(\sigma + 2\pi) = X^{d}(\sigma) + 2\pi Rw, \qquad w \in \mathbb{Z}.$$
(2.2)

The new integer w is called *winding number*. Give a geometric interpretation of this number (you can draw a picture).

- d) Recall what is the most general solution of  $\partial_+\partial_-X^d(\sigma) = 0$  for the closed string by introducing arbitrary left and right zero modes  $\alpha_0^d$  and  $\tilde{\alpha}_0^d$  (focus on the non-oscillator part and do not impose any periodicity yet).
- e) Impose the periodicity condition (2.2) to find a relation between  $\alpha_0^d$  and  $\tilde{\alpha}_0^d$ .
- f) Use your knowledge about the KK modes to constrain the center or mass momentum given by  $(\alpha_0^d + \tilde{\alpha}_0^d)/\sqrt{2\alpha'}$ . Now you can fully express  $\alpha_0^d$  and  $\tilde{\alpha}_0^d$  as well as the mode expansion in terms of the KK and winding numbers.

g) From the Virasoro constraints  $(L_0 - 1) |\text{phys}\rangle = 0$  and  $(\tilde{L}_0 - 1) |\text{phys}\rangle = 0$ , derive the following level-matching condition and effective mass-squared in d dimensions

$$N - \tilde{N} = nw,$$
  

$$\alpha' m^2 = \alpha' \left[ \frac{n^2}{R^2} + \frac{w^2 R^2}{\alpha'^2} \right] + 2N + 2\tilde{N} - 4.$$
(2.3)

h) What happens under the transformation

$$n \leftrightarrow w, \qquad R \to R' = \frac{\alpha'}{R}?$$
 (2.4)

Try to gain physical understanding of the situation and derive the value of the radius which is a fixed point of the transformation. It is called *self-dual radius*.

- i) Let us now look at the spectrum. The ground state with n = w = 0 and no oscillator gives the usual tachyon with  $m^2 = -4/\alpha'$ . At the massless level with  $N = \tilde{N} = 1$  and n = w = 0, write the possible states by acting either with  $\alpha_{-1}^{\mu}$ ,  $\mu = 0, \ldots, d-1$  or with  $\alpha_{-1}^{d}$  (and their tilde counterparts). You should find the *d*-dimensional graviton as well as two *d*-dimensional vectors and one scalar. Interpret this.
- j) Now we consider the sector with  $n = w = \pm 1$ . The level-matching condition is modified to  $N = \tilde{N} + 1$ . Write the spectrum obtained with  $\tilde{N} = 0$  and N = 1. You should find two vectors and two scalars, one of each for n = w = 1 and the other for n = w = -1. Express the mass of these states.
- k) You would find another copy of these states by looking at the sector with  $n = -w = \pm 1$ . What happens to their mass at the self-dual radius defined above? Interpret again.